

In the Matter of)

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SETTLEMENT AGREEMENT

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William Gardner)

GAB Case #2010-05

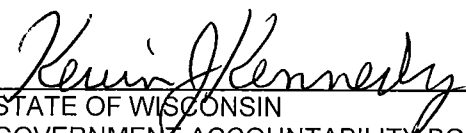
This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Wisconsin & Southern Railroad Co. is a corporation doing business in Wisconsin.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Co.
3. That from December 2009 through April 2010 Mr. Gardner requested a number of company employees to make campaign contributions to Friends of Scott Walker in the amount of either \$4,900 or \$5,000. Mr. Gardner told these employees that Wisconsin & Southern Railroad Co. would reimburse them for the contributions.
4. That in November 2009 Mr. Gardner made contributions to Friends of Mike Sheridan and the Assembly Democratic Campaign Committee totaling \$4,000.
5. That in November and December 2009 Mr. Gardner made two \$5,000 campaign contributions to friends of Scott Walker.
6. That in December 2009 Mr. Gardner requested a friend to make a \$10,000 contribution to Friends of Scott Walker, which Mr. Gardner reimbursed.
7. That from November 2009 through April 2010 Wisconsin & Southern Railroad Co. reimbursed Mr. Gardner and the company employees for these contributions.
8. That Wisconsin & Southern Railroad Co. reimbursed 11 political contributions made by individuals totaling \$53,800.
9. That §11.24 (1), *Wisconsin Statutes*, provides that no person may, directly or indirectly, furnish funds to another person for the purpose of making a contribution in other than the person's own name.
10. That §11.38 (1), *Wisconsin Statutes*, provides that no corporation may make any contribution, directly or indirectly, to any candidate or committee, other than to promote or defeat a referendum.

11. That Wisconsin & Southern Railroad Co. violated these statutes by reimbursing Mr. Gardner and company employees for 11 political contributions totaling \$53,800.
12. That Wisconsin & Southern Railroad Co. has agreed to pay a civil forfeiture of \$166,900 in settlement of this matter.



WILLIAM GARDNER, PRESIDENT & CEO
WISCONSIN & SOUTHERN RAILROAD
CO. 4/8/2011
Date



STATE OF WISCONSIN
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel 9/11/11
Date

State of WisconsinGovernment Accountability Board

In the Matter of)

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SETTLEMENT AGREEMENT

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William Gardner

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GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Bernard Meighan is an employee of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in February 2010 Mr. Gardner requested Mr. Meighan to make a campaign contribution to Friends of Scott Walker in the amount of \$5,000. Mr. Gardner told Mr. Meighan that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Meighan made a \$5,000 contribution to Friends of Scott Walker on February 3, 2010.
5. That Wisconsin & Southern Railroad Company paid this amount to Mr. Meighan on February 1, 2010.
6. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, make any campaign contribution other than from funds belonging to that individual.
7. That Mr. Meighan violated this statute by making a contribution of \$5,000 to Friends of Scott Walker for which contribution he received full reimbursement from Wisconsin & Southern Railroad Company.
8. That Bernard Meighan has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

Bernard M Meighan April 8, 2011
BERNARD MEIGHAN Date


Kevin J. Kennedy 4/11/11
STATE OF WISCONSIN Date
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel

State of Wisconsin	Government Accountability Board
In the Matter of)
) SETTLEMENT AGREEMENT
)
William Gardner) GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Dale Thomas is an employee of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in March 2010 Mr. Gardner requested Mr. Thomas to make a campaign contribution to Friends of Scott Walker in the amount of \$4,900. Mr. Gardner told Mr. Thomas that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Thomas made a \$4,900 contribution to Friends of Scott Walker on March 8, 2010.
5. That Wisconsin & Southern Railroad Company reimbursed this amount to Mr. Thomas on or about March 15, 2010.
6. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, make any campaign contribution other than from funds belonging to that individual.
7. That Mr. Thomas violated this statute by making a contribution of \$4,900 to Friends of Scott Walker for which contribution he received full reimbursement from Wisconsin & Southern Railroad Company.
8. That Dale Thomas has agreed to pay a civil forfeiture of \$250 in settlement of this matter.


 4/8/11
DALE THOMAS Date

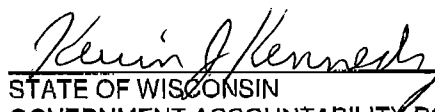
 4/11/11
STATE OF WISCONSIN Date
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel

State of Wisconsin	Government Accountability Board
In the Matter of)
) SETTLEMENT AGREEMENT
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William Gardner) GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Steve Beske is an employee of Wisconsin Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in December 2009 Mr. Gardner requested Mr. Beske to make a campaign contribution to Friends of Scott Walker in the amount of \$5,000. Mr. Gardner told Mr. Beske that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Beske made a \$5,000 contribution to Friends of Scott Walker on December 14, 2009.
5. That Wisconsin & Southern Railroad Company reimbursed this amount to Mr. Beske on December 16, 2009.
6. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, make any campaign contribution other than from funds belonging to that individual.
7. That Mr. Beske violated this statute by making a contribution of \$5,000 to Friends of Scott Walker for which contribution he received full reimbursement from Wisconsin & Southern Railroad Company.
8. That Steve Beske has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

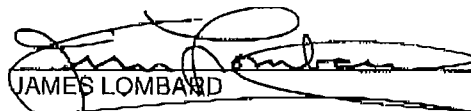


STEVE BESKE
April 8, 2011
Date


STATE OF WISCONSIN
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel
4/11/11
Date

State of Wisconsin	Government Accountability Board
In the Matter of)
) SETTLEMENT AGREEMENT
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William Gardner) GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

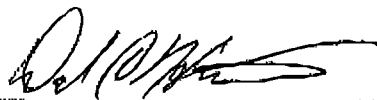
1. That James Lombard is an employee of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in December 2009 Mr. Gardner requested Mr. Lombard to make a campaign contribution to Friends of Scott Walker in the amount of \$5,000. Mr. Gardner told Mr. Lombard that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Lombard made a \$5,000 contribution to Friends of Scott Walker on December 15, 2009.
5. That Wisconsin & Southern Railroad Company reimbursed this amount to Mr. Lombard on December 16, 2009.
6. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, make any campaign contribution other than from funds belonging to that individual.
7. That Mr. Lombard violated this statute by making a contribution of \$5,000 to Friends of Scott Walker for which contribution he received full reimbursement from Wisconsin & Southern Railroad Company.
8. That James Lombard has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

 JAMES LOMBARD	4-8-11 Date
 STATE OF WISCONSIN GOVERNMENT ACCOUNTABILITY BOARD By: Kevin J. Kennedy Director and General Counsel	4/11/11 Date


State of Wisconsin	Government Accountability Board
In the Matter of)
) SETTLEMENT AGREEMENT
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William Gardner) GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That David Hackbarth is an employee of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in March 2010 Mr. Gardner requested Mr. Hackbarth to make a campaign contribution to Friends of Scott Walker in the amount of \$4,900. Mr. Gardner told Mr. Hackbarth that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Hackbarth made a \$4,900 contribution to Friends of Scott Walker on March 22, 2010.
5. That Wisconsin & Southern Railroad Company reimbursed this amount to Mr. Hackbarth on April 22, 2010.
6. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, make any campaign contribution other than from funds belonging to that individual.
7. That Mr. Hackbarth violated this statute by making a contribution of \$4,900 to Friends of Scott Walker for which contribution he received full reimbursement from Wisconsin & Southern Railroad Company.
8. That David Hackbarth has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

 4-8-11

DAVID HACKBARTH Date

 4/11/11

STATE OF WISCONSIN Date
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel

HAND DELIVERED

RECEIVED

State of Wisconsin 11 APR -8 PM 12:48 Government Accountability Board
In the Matter of

GOVERNMENT
ACCOUNTABILITY BOARD
SETTLEMENT AGREEMENT

William Gardner) GAB Case #2010-05

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Ken Lucht is an employee of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That in February 2010 Mr. Gardner requested Mr. Lucht to make a campaign contribution to Friends of Scott Walker in the amount of \$5,000. Mr. Gardner told Mr. Lucht that Wisconsin & Southern Railroad Company would reimburse him for the contribution.
4. That Mr. Lucht accepted \$5,000 from Wisconsin & Southern Railroad Company to make a political contribution to Friends of Scott Walker, although he did not make such contribution.
5. That §11.25 (1), *Wisconsin Statutes*, provides that no individual may intentionally accept or receive anything of value for a political purpose contrary to law.
6. That Mr. Lucht violated this statute by accepting \$5,000 from Wisconsin & Southern Railroad Company in order to make a contribution to Friends of Scott Walker.
7. That Ken Lucht has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

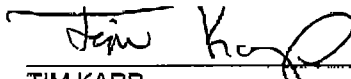

Ken Lucht 4/8/11
KEN LUCHT Date

Kevin J. Kennedy 4/8/11
STATE OF WISCONSIN Date
GOVERNMENT ACCOUNTABILITY BOARD
By: Kevin J. Kennedy
Director and General Counsel

State of Wisconsin	Government Accountability Board
In the Matter of)
) SETTLEMENT AGREEMENT
)
<u>William Gardner</u>) <u>GAB Case #2010-05</u>

This agreement is entered into pursuant to the authority granted in §5.05 (1) (c), *Wisconsin Statutes*, for the purpose of settling a potential action for a violation of subch. III, Ch.13, *Wisconsin Statutes*. The parties hereto acknowledge and agree as follows:

1. That Tim Karp is the Chief Financial Officer of Wisconsin & Southern Railroad Company.
2. That William Gardner is the President and owner of Wisconsin & Southern Railroad Company.
3. That from December 2009 through March 2010 Mr. Gardner requested a number of company employees to make campaign contributions to Friends of Scott Walker in the amount of either \$4,900 or \$5,000. Mr. Gardner told these employees that Wisconsin & Southern Railroad Company would reimburse them for the contributions.
4. That Mr. Karp signed a number of checks from Wisconsin & Southern Railroad Company's checking account to reimburse these employees.
5. That §11.24 (1), *Wisconsin Statutes*, provides that no individual may, directly or indirectly, furnish funds to another person for the purpose of making a contribution in other than the individual's own name.
6. That Mr. Karp violated this statute by signing checks from Wisconsin & Southern Railroad Company in order to reimburse employees for making political contributions to Friends of Scott Walker.
7. That Tim Karp has agreed to pay a civil forfeiture of \$250 in settlement of this matter.

	4/7/11
TIM KARP	Date
	4/11/11
STATE OF WISCONSIN	Date
GOVERNMENT ACCOUNTABILITY BOARD	
By: Kevin J. Kennedy	
Director and General Counsel	